



Atty. Docket No. SP01-047 (COR20 P-368)

#4  
2874  
10/07/02

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

09-23-02  
Date

Sharla A. Waller  
Sharla A. Waller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 2874  
Applicants : Juro Kikuchi et al.  
Appln. No. : 09/810,928  
Filed : March 15, 2001  
Confirmation No. : 7134  
For : ARRAYED OPTICAL DEVICE

RECEIVED  
SEP 27 2002  
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicants : Juro Kikuchi et al.  
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Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

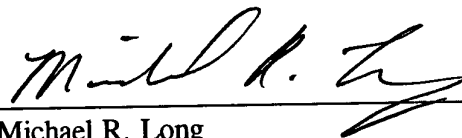
Respectfully submitted,

JURO KIKUCHI ET AL.

By: PRICE, HENEVELD, COOPER,  
DEWITT & LITTON

09-23-02

Date



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MRL/csd/saw



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

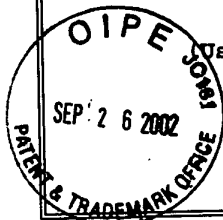
ATTY. DOCKET NO.  
SP01-047  
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SERIAL NO.  
09/810,928

APPLICANTS  
Juro Kikuchi et al.

FILING DATE  
03/15/01

GROUP  
2874



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
	6 3 9 3 1 7 9	05/21/02	Cheng et al.			
	6 3 2 8 4 8 2	12/11/01	Jian			
	6 3 0 4 6 9 4	10/16/01	Ford			
	6 2 6 3 1 3 3	07/17/01	Hamm			
	6 1 6 8 3 1 9	01/02/01	Francis			
	6 1 4 2 6 7 8	11/07/00	Cheng			
	6 0 1 2 8 5 2	01/11/00	Kadar-Kallen et al.			
	6 0 1 0 2 5 1	01/04/00	Koyanagi et al.			
	5 8 1 5 6 2 4	09/29/98	Rosenberg			

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER	DATE CONSIDERED
<p>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	